



Transfer Authorization of Investment (In-Kind/Cash)

Must be completed for Client Name Plans

1 Client Information *(Please check one box and print name in full)*

Account Holder *(please check one box and print name in full)* Mr. Mrs. Ms. Dr. Corporate

Last Name _____ First Name _____ Initial _____ DOB (MM/DD/YYYY) _____

Co-Account Holder *(please check one box and print name in full)* Mr. Mrs. Ms. Dr. Corporate

Last Name _____ First Name _____ Initial _____ DOB (MM/DD/YYYY) _____

2 Transfer Type

- Open to RSP Open to RESP Open to TFSA RIF to Open RSP to TFSA Other
 RSP to Open RESP to Open TFSA to Open LIF to Open TFSA to RSP

3 Institution Transferring From

4 Institution Transferring To

- In Kind
 In Cash

Account Number _____ Fund Number _____

Account Number _____ Fund Number _____

Insurance Company Name _____ Transfer Amount _____

Fund Name _____ Transfer Amount _____

- In Kind
 In Cash

Account Number _____ Fund Number _____

Account Number _____ Fund Number _____

Insurance Company Name _____ Transfer Amount _____

Fund Name _____ Transfer Amount _____

- In Kind
 In Cash

Account Number _____ Fund Number _____

Account Number _____ Fund Number _____

Insurance Company Name _____ Transfer Amount _____

Fund Name _____ Transfer Amount _____

Special Instructions

5 Account Holder(s) Signature Required

Account Holder's Signature _____ MM/DD/YYYY Co-Account Holder's Signature _____ MM/DD/YYYY

6 Dealer/Financial Advisor Signature Required

Financial Advisor Signature _____ FA Name & Dealer Number _____ MM/DD/YYYY

Dealer Officer/Branch Manager Signature _____ DO/BM Name _____ MM/DD/YYYY

SIGNATURE GUARANTEE
(BANK, TRUST COMPANY OR DEALER)
OR STAMP (IF NECESSARY)

Note:

This form must be used for all "Client Name" held accounts and maybe be used for certain types of transfers in "Intermediary" (Self-Directed) held accounts. All "Intermediary" (Self-Directed) held accounts require the appropriate form provided by each carrier. Refer to the Account Operations Manual or contact Dealer Services at 416-622-9969 if you require clarification or have any questions.