



**1 Third Party Information**

Third Party Is:  An Individual  A Corporation  Other \_\_\_\_\_

Name Of The Third Party Occupation / Nature Of Business DOB (MM/DD/YYYY)

Address (Street Number, Street Name) Unit / Apartment / Suite Number P.O. Box

City Province Postal Code Country

Relationship of Third Party to the Client (Check one Below)			Incorporation Number	Place of Incorporation
<input type="checkbox"/> Accountant	<input type="checkbox"/> Borrower	<input type="checkbox"/> Customer	<input type="checkbox"/> Friend	<input type="checkbox"/> Relative
<input type="checkbox"/> Agent	<input type="checkbox"/> Broker	<input type="checkbox"/> Employee	<input type="checkbox"/> Legal Counsel	<input type="checkbox"/> Other (Description): _____

**Third Party Identification for Individual (For Corporate 3<sup>rd</sup> Party Complete Entity Director/Owner Sheet)**

Type Of ID Unique Identifier Number Place Of Issuance: Expiry Date (If Any)

**2 Financial Advisor Declaration**

- I Have Referred to Original Documents Mentioned Above and Correctly Recorded the Information from Such Documents.
- I Have Reasonable Grounds to Suspect That a Third Party is Involved.  N/A

Reason(s) For Suspicion:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

X

Financial Advisor Signature Dealer & FA Number MM/DD/YYYY

**3 Dealer Use Only**

Comments:  
\_\_\_\_\_  
\_\_\_\_\_  
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\_\_\_\_\_  
\_\_\_\_\_

X

Dealer Officer/Branch Manager Signature Dealer Officer/Branch Manager Name MM/DD/YYYY